Electrothermal CAD of Power Devices and Circuits With Fully Physical Time-Dependent Compact Thermal Modeling of Complex Nonlinear 3-D Systems

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Abstract-An original, fully analytical, spectral domain decomposition approach is presented for the time-dependent thermal modeling of complex non linear three-dimensional (3-D) electronic systems, from metallized power FETs and MMICs, through MCMs, up to circuit board level. This solution method offers a powerful alternative to conventional numerical thermal simulation techniques, and is constructed to be compatible with explicitly coupled electrothermal device and circuit simulation on CAD timescales. In contrast to semianalytical, frequency space, Fourier solutions involving DFT-FFT, the method presented here is based on explicit, fully analytical, double Fourier series expressions for thermal subsystem solutions in Laplace transform s-space (complex frequency space). It is presented in the form of analytically exact thermal impedance matrix expressions for thermal subsystems. These include double Fourier series solutions for rectangular multilayers, which are an order of magnitude faster to evaluate than existing semi-analytical Fourier solutions based on DFT-FFT. They also include double Fourier series solutions for the case of arbitrarily distributed volume heat sources and sinks, constructed without the use of Green's function techniques, and for rectangular volumes with prescribed fluxes on all faces, removing the adiabatic sidewall boundary condition. This combination allows treatment of arbitrarily inhomogeneous complex geometries, and provides a description of thermal material non linearities as well as inclusion of position varying and non linear surface fluxes. It provides a fully physical, and near exact, generalized multiport network parameter description of non linear, distributed thermal subsystems, in both the time and frequency domains. In contrast to existing circuit level approaches, it requires no explicit lumped element, RC-network approximation or nodal reduction, for fully coupled, electrothermal CAD. This thermal impedance matrix approach immediately gives rise to

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minimal boundary condition independent compact models for thermal systems. Implementation of the time-dependent thermal model as N-port netlist elements within a microwave circuit simulation engine, Transim (NCSU), is described. Electrothermal transient, single-tone, two-tone, and multitone harmonic balance simulations are presented for a MESFET amplifier. This thermal model is validated experimentally by thermal imaging of a passive grid array representative of one form of spatial power combining architecture.

Index Terms—Circuits, compact modeling, electrothermal, harmonic balance, nonlinear simulation, MMICs, power FETs, spatial power combiners, thermal, transient.

NOMENCLATURE

AC	Alternating current.
ACPR	Adjacent channel power ratio.
BCI	Boundary condition independent.
CAD	Computer aided design.
CDMA	Code division multiple access.
DC	Direct current.
DFT-FFT	Discrete Fourier transform, fast Fourier
	transform.
EM	Electromagnetic.
FDTD	Finite difference time domain.
FET	Field effect transistor.
FETD	Finite element time domain.
HB	Harmonic balance.
HEMT	High electron mobility transistor.
IC	Integrated circuit.
MCM	Multichip module.
MESFET	Metal semiconductor field effect transistor.
MMIC	Monolithic microwave integrated circuit.
MNAM	Modified nodal admittance matrix.
MOSFET	Metal oxide semiconductor field effect
	transistor.
RF	Radio frequency.
SPICE	Simulation programme with integrated
	circuit emphasis.
USE	Unsteady surface element.
a, b, c	Simultaneously piecewise constant
	functions (36).
$A_{mn}, B_{mn}, C_{mn},$	Double Fourier series expansion
D_{mn}, E_{mn}, F_{mn}	coefficients.

C	Specific heat.	$R_{\mathrm{TH}_{iil}}^{00}$,
D	z-dimension of rectangular subvolume.	$R_{\mathrm{TH}\omega}^{\overline{D0}^{iv}},$
D_i	Domain corresponding to heating element,	1 I I I I
	i.	
D_r	z-coordinate of rth interface in N-level	$\underline{R}_{TH}^{aa}, \underline{R}$
	multilayer, $r = 1, \ldots, N$ (with $D_0 = 0$).	$\overline{R}_{\text{TTL}}^{ian}, \overline{R}$
$g, g(x, y, z, \tau)$	Rate of heat generation.	
$\overline{g}(x, y, z; s)$	Laplace transform of $g(x, y, z, \tau)$.	$\underline{R}_{\mathrm{TH}}^{DD(n)}$
$G_{mn}(z)$	Source term in one-dimensional (1-D)	
	Helmholtz equation for $Z_{mn}(z)$, (31).	$\underline{\underline{R}}_{\mathrm{TH}}^{\mathrm{glob}}$
H	Surface heat flux coefficient.	
$H_{0,D}$	Surface heat flux coefficient, H , at surfaces	R_i
	z = 0, D, in "radiation" boundary	
	condition (7).	s
$i_{ m ds}$	AC drain-source current.	
$I_{ m ds}$	DC drain-source current.	$S_i(x,y)$
I^i_{mn}	Double integral over elementary area, D_i	
	(20).	S_{mn}, C
I_{mn}^{0i}, I_{mn}^{Dj}	Double integrals of the form (20), over	t
	elementary areas D_i and D_j , on respective	T
	faces $z = 0$ and $z = D$, of rectangular	T_S
	subvolume.	
i, j	Integer indices running over elementary	u(au)
	heating elements.	$v_{\rm ds}$
k	Thermal diffusivity, $k = \kappa / \rho C$.	$V_{\rm ds}$
k_S	Temperature independent thermal	W
	diffusivity after time variable	x, y, z
	transformation.	x_j, y_j
k_r	Thermal diffusivity of r th layer in N -level	
	multilayer.	
L_{\perp}	x-dimension of rectangular subvolume.	
\mathcal{L}^{-1}	Inverse Laplace transformation.	z_{i1}, z_{i2}
l,m,n	Summation indices taking values	
	$0, 1, 2, \dots$	
$\underline{\underline{M}}^{(r)}$	2×2 analytically obtained transfer matrix	$Z_{mn}(z)$
	(41).	
$P_i(au)$	Time-dependent power dissipation in	$z_{mn}(z)$
_	elementary area, D_i .	
P_i	Laplace transformed power dissipation in	$\alpha_{0,D}$
(n)	elementary area, D_i .	
$P_j^{(n)}$	Values of power dissipation, $P_j(au)$,	
	assumed piecewise constant, in element, j ,	
50	at time step $n\delta\tau$.	0
\underline{P}^{a}	Vector of Laplace transformed power	α_{mn}, β_{n}
	dissipations at active elements, a , of	δau
<i>(</i>)	metallized GaAs die (44).	$\frac{\delta_{mn}}{\Lambda}$
$p_{0,D}(x,y, au)$	Time dependent imposed flux densities at	$\Delta \theta_i$
	surfaces $z = 0, D$ in "radiation" boundary	(m)
	condition (7).	$\Delta \theta_i^{(m)}$
$\overline{p}_{0,D}(x,y;s)$	Laplace transform of $p_{0,D}(x, y, \tau)$.	A 00
r	Index describing layers of <i>N</i> -level	$\underline{\Delta \theta}^{a}$
	multilayer, $r = 1, \ldots, N$.	
$\kappa_{{ m TH}_{ij}}(s)$	Thermal impedance matrix in complex	A ~
	trequency space (<i>N</i> -port impulse	$\Delta \zeta$
\mathbf{D}	response).	γ_{mn}
$\kappa_{{ m TH}_{ij}}(au)$	Time domain thermal impedance matrix	Γ_{mn}
	(N-port step response)	$\gamma_{mn}^{(\prime \prime)}$
	$\mathcal{L}^{-1}\{R_{\mathrm{TH}_{ij}}(s)(1/s)\}.$	

$, R^{0D}_{\mathrm{TH} \dots},$	Thermal impedance matrices
R_{TT}^{DD}	corresponding to rectangular volume
$-1 \Pi_{jj'}$	discretized on both top and bottom surfaces,
	z = 0, D (43).
ai	Thermal impedance matrix, R_{TH} , (s), for
≝TH ' ⊃n	metallized GaAs die partitioned by active
ĽΤΗ	elements <i>a</i> and interface elements <i>i</i>
)	Thermal impedance matrix for the <i>n</i> th
	nioco of surface metallization (43)
	Global thermal impedence matrix for
	motallized GeAs dia (44)
	Amplitude in time constant representation
	(26)
	(20).
	Laplace transformation variable (complex
`	frequency).
)	Two-dimensional step function, equal to 1
	in elementary areas, D_i , and 0 otherwise.
mn	Double Fourier series expansion coefficients.
	Time.
	Temperature.
	Teatsink mount temperature in Kirchhoff
	transformation.
	Unit step function.
	AC drain-source voltage.
	DC drain-source voltage.
	<i>y</i> -dimension of rectangular subvolume.
	Cartesian coordinates.
	x- and y -coordinates of surface points,
	(z = 0), defining thermal transfer
	impedance in N-level multilayer solution
	(38).
	<i>z</i> -coordinates of bounding planes of
	elementary rectangular power dissipating
	volume, <i>i</i> , with cross section, D_i .
)	z-terms in generalized double Fourier
	series expansion (30).
	Transformation of $Z_{mn}(z)$ to solve
	Helmoltz equation (31).
	Coefficient α equal to 0 (imposed
	temperature boundary condition) or 1
	(imposed flux boundary condition), at
	surfaces $z = 0, D$, in "radiation" boundary
	condition (7).
mn	Coefficients of transfer matrix product (39).
	Elementary interval in transformed time.
	Kronecker delta function.
	Laplace transformed rise of linearized
	temperature for heating element, <i>i</i> .
	Linearized temperature rise in element, i ,
	at time step $m\delta\tau$.
	Vector of Laplace transformed, linearized
	temperature rises at active elements, a, of
	metallized GaAs die (44).
	Intervals in $\ln \tau$, Fig. 3.
	$(\lambda_m^2 + \mu_n^2 + s/k_S)^{1/2}$ (12).
	$(\lambda_m^2 + \mu_n^2)^{1/2}$ (24).
	γ_{mn} of rth layer in N-level multilayer,
	$(\lambda_m^2 + \mu_n^2 + s/k_r)^{1/2}$ (40).

$\kappa(T)$	Temperature dependent thermal
	conductivity.
KS	Temperature independent thermal
5	conductivity after Kirchhoff
	transformation, $\kappa_{\rm S} = \kappa(T_{\rm S})$.
Km	Thermal conductivity of r th layer in
101.	N-level multilaver
λ	$m\pi/L$ (12)
λ_m	$n\pi/W$ (12)
μn	Angular frequency
а А	Temperature linearized by Kirchhoff
U	transformation (2)
ā	L onloss transform of ()
Ð	Laplace transform of θ .
$\frac{\theta_{\rm amb}}{\overline{\alpha}}$	Ambient temperature.
$ heta_{\mathrm{av}_i}$	Laplace transformed linearized
	temperature averaged over elementary area,
o ()	D_i .
$\theta(\tau = 0)$	Initial temperature distribution.
Θ	Shifted temperature, $s\theta - \theta(\tau = 0)$ (9).
$\theta_{0,D}(x,y,\tau)$	Time dependent ambient temperatures
	$(\alpha_{0,D} \neq 0)$, or heatsink mount
	temperatures ($\alpha_{0,D} = 0$), at surfaces
	z = 0, D, in "radiation" boundary
	condition (7).
$ar{ heta}_{0\mathrm{av}_i},ar{ heta}_{D\mathrm{av}_i}$	Laplace transforms of linearized
- 5	temperatures averaged over elementary
	areas, D_i , and D_i , on respective faces
	z = 0 and $z = D$, of rectangular
	subvolume.
ρ	Density.
$\sum_{m=1}^{\prime}$	Sum over all m, n excluding
	(m,n) = (0,0) (24).
τ	Transformed time (4).
τ_i	Time constant (26).
Č	$\ln \tau$. Fig. 3.
$\tilde{c}_{mm}(z)$	Derivative of $z_{mn}(z)$, dz_{mn}/dz to reduce
Smin(~)	Helmoltz equation (31) to first order
	remote equation (51), to mot order.

I. INTRODUCTION

THE impact of self-heating and mutual thermal interaction on electronic device and integrated circuit performance is well known, and the electrothermal simulation problem has been studied for at least 30 years [1]-[3]. The thermal modeling of complex three-dimensional (3-D) structures can be achieved by standard numerical techniques, and solutions of the heat diffusion equation for complex 3-D systems are commonly based on finite volume, finite element, finite difference, boundary element or transmission line methods. All of these approaches require construction of a volume or surface mesh. Such solutions have been combined with circuit simulators for joint electrothermal simulation [4]. However, they are computationally intensive and therefore generally too slow for treatment of large systems, by direct coupling to electronic device and circuit simulators, in the necessarily iterative solution of intrinsically non linear coupled electrothermal problems. Even numerical solutions optimized for thermal treatment of electronic devices and circuits, e.g., [5], which is based on hierarchical nesting to treat the wide range of length and time scales inherent in the coupled electrothermal problem, or [6], based on successive node reduction for complex inhomogeneous 3-D structures, are not fast enough for directly coupled electrical and thermal solution. Thus a number of faster thermal descriptions have been developed.

The simplest thermal models for the time-independent case are provided by analytical thermal resistance approaches of varying levels of complexity, e.g., [7]–[9]. However, it has been stated repeatedly [10], [11] that the thermal resistance approach is fundamentally approximate and inadequate for detailed description of power devices. Until recently, the state of the art in time-independent thermal simulation of heatsink mounted power FETs and MMICs, for coupled electrothermal CAD, has been represented by the hybrid finite element Green's function approach of Bonani *et al.* [12]. This thermal resistance matrix approach treats device structure such as surface metallization, vias and partial substrate thinning.

The thermal resistance and impedance matrix approach is an example of thermal network extraction. For treatment of complex structures without direct coupling of electrical and thermal simulators, generation of thermal networks for both time-independent and time-dependent electrothermal co-simulation has been described by, e.g., Lee and Allstot [13], Hefner and Blackburn [14], and Hsu and Vu-Quoc [15]. However, these approaches are all based on spatial discretization of the thermal system. They can produce thermal networks containing a large number of nodes, are inherently approximate through finite difference [13], [14] or finite element [15] discretization, and can invoke simplifications to the full 3-D thermal solution, by solving the heat diffusion equation only in a reduced dimensional form and without treating detailed device structure such as die surface metallization [14].

In contrast, analytical solutions can be fully 3-D and avoid approximations due to spatial discretization. However, in time-dependent coupled electrothermal CAD, fast analytical 3-D thermal descriptions have been less able to describe complex structures, and have been limited to simple rectangular multilayers. Analytical thermal impedance expressions have been presented, for instance, for MOSFETs [16]. Veijola has implemented an approximate thermal impedance description, based on analytical solution for heat-generating spheres, in circuit simulation programme APLAC [17]. Rizzoli has employed a Green's function construction of the thermal resistance matrix in a wide range of circuit level, harmonic balance and transient simulations, but with thermal capacitances described approximately based on an enthalpy formulation [18]. Analytical Green's function and Fourier solutions have been used to describe the time-dependent thermal problem by a number of authors. In particular, Szekely et al. have employed a Fourier series method for over 20 years, providing solutions for a variety of ICs, microsystem elements, and MCMs [19].

For circuit level electrothermal simulations, thermal model reduction techniques have been widely employed. Work in this area includes that of Sabry [20], Napieralski [21], Hsu [22] and Szekely [23]. Szekely has combined fast sparse finite difference methods, [6], with lumped element RC network extraction, [23], to provide coupled electrothermal simulation of complex 3-D

systems. The related problem of compact model development is currently an active area of research [24], [25].

The aim of this paper is to describe a new, fully physical and analytical, approach to the non linear time-dependent thermal problem in complex 3-D systems, suitable for explicitly coupled electrothermal device and circuit simulation on CAD timescales, and requiring essentially no model reduction. To illustrate the advantages of this approach, particular comparison is made with the comprehensive circuit level electrothermal modeling capability of Szekely *et al.* Fully physical, coupled electrothermal device simulations for the thermal time-independent and time-dependent cases, have been described by the authors previously [26]–[32]. These were based on coupling of the thermal model presented here, to the quasi-two-dimensional (2-D) Leeds Physical Model of MESFETs and HEMTs [33]–[36]. Coupling to a microwave circuit simulator, Transim (NCSU) [37], has been introduced in [38]–[41].

Generically, the thermal approach presented in this paper, is a fully analytical spectral domain decomposition technique [42]. Simple composite systems have been treated previously by the Unsteady Surface Element (USE) method of Beck et al. [43], and this approach has the advantage that, unlike conventional numerical methods, it only discretises interfaces between subsystems. Like the USE method, the approach presented here discretises only interfaces (along with power dissipating and temperature sensitive elements). It constructs solutions for thermal subvolumes which are fully analytical, with development of double Fourier series solutions for thermal subvolumes by explicit construction of series expansion coefficients. Thus it differs from semianalytical Fourier approaches for simple rectangular multilayers [19], based on collocation or function sampling, which require numerical manipulation such as DFT-FFT to generate expansion coefficients. As solutions for subvolumes are fully analytical, no volume or surface mesh is required. The method described is a thermal impedance matrix approach. This time-dependent thermal impedance matrix formulation is a natural development of the authors' fully analytical implementation of the thermal resistance matrix approach for the time-independent case, described in [26], [27] and developed fully in [28]. It is shown that, in contrast to previous thermal resistance and impedance approaches, this thermal impedance matrix method can be formulated to provide an essentially exact solution of the heat diffusion equation in complex 3-D systems. It therefore removes the need to utilize computationally intensive numerical techniques in order to treat complex structures, e.g., [4], [20], [21], [44]. Previous Green's function or Fourier approaches have been restricted to simple rectangular homogeneous volumes and multilayers, e.g., [3], [18], [23], [45]. The fully analytical model presented here can describe simultaneously all device detail, from surface metallization, vias and substrate thinning, in power FETs and MMICs, through (actively cooled) MMIC on substrate arrays, up to MCMs and circuit board level. It does this by providing double Fourier series solutions of the heat diffusion equation in thermal subsystems, and then constructing global solutions for complex systems by matching temperature and flux at subsystem interfaces. As the subsystem solutions are matrix expressions, an explicit matrix representation can be obtained for the global thermal impedance matrix of the complex device structure. A particular intended application is the treatment of MMIC arrays for spatial power combining at millimeter wavelengths.

Importantly, this modular thermal solution is constructed to be immediately compatible with explicitly coupled electrothermal device and circuit simulation on CAD timescales. This is achieved by formulating the analytically exact subsystem solutions in terms of thermal impedance matrices which describe temperature variation with time, only in the vicinity of the power dissipating, temperature sensitive, and interface regions required for coupling of the electrical and thermal problems. No redundant temperature information is generated on the surface or in the body of the subsystem volumes. As these minimal thermal solutions are generated analytically, the thermal impedance matrices are all precomputed, prior to the coupled electrothermal simulation, purely from structural information. Thermal updates in the coupled electrothermal problem are therefore rapid.

Fully coupled device level simulation can be implemented by combination of the Leeds thermal impedance matrix model with any thermally self-consistent device model. If the device model includes self-heating effects, then the global thermal impedance matrix will provide an accurate, CAD timescale, description of mutual thermal interaction between power dissipating and temperature sensitive elements, however complex the thermal system. Coupled electrothermal solution is achieved by iterative solution of the electrical and thermal problems, with thermal updates provided by small matrix multiplications, and thermal non linearity transferred to the already non linear active device model.

Circuit implementation of this thermal solution, exploits the ability of network based microwave circuit simulators to describe multiport non linear elements in the time domain, and to treat distributed electromagnetic (EM) systems in terms of multiport network parameters [46]. The thermal solution makes use of the close analogy between distributed EM and thermal systems. The magnetic vector potential wave equation in frequency space, is just the Helmholtz equation, as is the time-dependent heat diffusion equation in Laplace transform s-space (complex frequency space), after appropriate transformation of thermal non linearity. Double Fourier series thermal solutions resemble analytical EM Green's function solutions (and the same series acceleration techniques can be used in each case). Most importantly, complex EM systems are treated by segmentation [47] and cascading of subsystem solutions by use of network parameter matrices. The transformed (initially non linear) thermal problem is therefore immediately compatible with network based microwave circuit simulation engines, by interpretation of thermal impedance matrices, for distributed thermal subsystems, in terms of generalized multiport network parameters.

Analytical, *s*-space solution for thermal subsystems, means that no numerical identification of thermal networks, such as that provided by the NID method [48], is required. It also means that each thermal subsystem can be described directly, in either the time domain or in the frequency domain, by the same analytical solution. The *s*-space thermal impedance matrix method is therefore more general than time-dependent EM waveguide descriptions, which are conventionally formulated in frequency space (time-dependent harmonic steady-state). In the time domain, the thermal subsystem is treated as a non linear multiport element, which readily allows non linear matching of transformed temperatures at thermal subsystem interfaces [27], [28]. In the frequency domain, the thermal subsystem is represented by a matrix of complex phasors inserted into the modified nodal admittance matrix (MNAM) for the microwave system, and thermal non linearity is again transferred to the already non linear active device model. This gives coupled electrothermal harmonic balance and transient solutions on CAD timescales. Coupled electrothermal circuit level CAD generally requires thermal model reduction, e.g., [20]-[23]. Rapidly convergent, fully analytical and minimal thermal impedance matrix expressions, in both the time and frequency domains, mean that no reduced, lumped element, RC network description, is required in the multiport network parameter approach. The thermal impedance matrices represent boundary condition independent compact models of thermal subsystems [24], [25] for the time-dependent case, and with treatment of thermal non linearity. Analytical expressions for the multiport network parameters of all thermal subvolumes means that no distinct thermal simulator, separate from the coupled electrothermal simulation engine, is required to characterize the complex thermal system.

A key aspect of the thermal solution presented here, is application of a generalized "radiation" boundary condition, on the top and bottom surfaces of all thermal subvolumes, in the analytical subsystem solutions. This boundary condition allows analytical subsystem solutions with interface discretization, and construction of global thermal solutions by vertical matching of temperatures and fluxes at subsystem interfaces. The boundary condition also allows integral treatment of surface radiation and convection in large area systems without approximation such as that invoked in [19]. (Radiation boundary conditions have also been applied, for example, in finite difference solutions for electrothermal simulation [44], and in analytical solutions at the circuit board level [45].) More comprehensive thermal solutions are also described, which remove the need for an adiabatic sidewall boundary condition in subvolumes, allowing additional horizontal interface matching. Such solutions also allow subvolume embedding for the treatment of inhomogeneous structures. The range of application of this thermal impedance matrix approach is therefore again more general than that of conventional EM waveguide formulations. Analytical solution with prescribed fluxes on all subvolume surfaces allows treatment of complex packages. By dropping the surface convection coefficient from the surface boundary conditions, it includes the case of position varying surface flux, by connection of thermal surface nodes to ambient through thermal resistances describing piecewise constant surface flux defined over the whole subvolume surface.

One aim of this paper is to present explicit analytical solutions for thermal subsystem impedance matrices, allowing global solution for complex systems. Such fully analytical solutions treat arbitrarily complex 3-D thermal systems without the use of volume meshes, uniform or non uniform, thus avoiding all problems with the wide range of length and time scales inherent in the coupled electrothermal problem [49]. Generation of such solutions requires treatment of thermal non linearity inherent in temperature dependent material parameters. An original treatment of this non linearity, for device and circuit level electrothermal CAD, is presented first [50]–[52]. This is followed by derivation of thermal impedance matrix solutions for a homogeneous MMIC, and for an N-level rectangular multilayer. It is shown how the time-dependent form of the thermal impedance matrix can be expressed in rapidly convergent forms for all time, t. This is followed by presentation of an original double Fourier series solution to the time-dependent heat diffusion equation with arbitrarily distributed volume heat sources and sinks. This goes beyond previous solutions in the literature, which treat heat dissipating sources as planar, either at the surface or interfaces of rectangular multilayers [19], [27], [28]. Description of complex 3-D structure, and construction of global impedance matrices, are outlined next, followed by discussion of the Leeds thermal impedance matrix approach as a compact model. Implementation of the time-dependent thermal impedance matrix approach, in circuit level CAD, is then illustrated by electrothermal transient and harmonic balance simulation, particularly demonstrating thermal effects on intermodulation distortion and spectral regrowth. These represent an essential aspect of device optimization for narrowband digital modulation applications such as CDMA for mobile communications. The ultimate intended application of the modeling capability described here, is study and design of spatial power combining systems for use as high power sources at millimeter wavelengths. The thermal model is therefore validated by thermal imaging of a passive grid array representative of one form of quasioptical system architecture.

II. THERMAL NON LINEARITY

The time dependent heat diffusion equation is given by

$$\nabla [\kappa(T)\nabla T] + g = \rho C \frac{\partial T}{\partial t} \tag{1}$$

where

 $\begin{array}{ll} T & \text{temperature;} \\ t & \text{time;} \\ \kappa(T) & \text{temperature dependent thermal conductivity;} \\ g(x,y,z,t) \text{ rate of heat generation;} \\ \rho & \text{density;} \\ C & \text{specific heat.} \end{array}$

This equation is non linear through the temperature dependence of $\kappa(T)$ (and possibly of ρ and C). To linearise the equation, the Kirchhoff transformation is performed [53]

$$\theta = T_S + \frac{1}{\kappa_S} \int_{T_S}^T \kappa(T) \, dT \tag{2}$$

where $\kappa_S = \kappa(T_S)$ and T_S is the heatsink mount temperature. The importance of performing the Kirchhoff transformation has been illustrated, e.g., by Webb [54]. The inverse Kirchhoff transformation is trivial to impose *a postiori* to solution of the linear heat diffusion equation, by application of a simple analytical formula to the solution temperatures [28], [55]. The equation for transformed temperature θ becomes

$$\nabla^2 \theta - \frac{1}{k(\theta)} \frac{\partial \theta}{\partial t} = -\frac{g}{\kappa_S} \tag{3}$$

where diffusivity $k = \kappa / \rho C$. k is now a function of θ so the equation is still non linear.

At this stage it is conventional, in electrothermal simulations employing the Kirchhoff transformation, to assume that $k(\theta)$ is approximately constant, thus fully linearising the time-dependent heat diffusion equation. However, for typical semiconductor systems this assumption requires further examination and has been discussed by the authors in [50]. It is shown there, that the Kirchhoff transformation does not remove the temperature sensitivity of the material parameters for the time-dependent case. Choice of an approximate mean value for $k(\theta)$ is not uniquely defined.

A further transformation can therefore be applied to linearise the heat diffusion equation, by defining a new time variable, τ [56], [57]

$$k_S \tau = \int_0^t k(\theta) \, dt. \tag{4}$$

Approximating the Laplacian by its conventional rectangular Cartesian form, the time-dependent heat diffusion equation becomes finally

$$\nabla^2 \theta - \frac{1}{k_S} \frac{\partial \theta}{\partial \tau} = -\frac{g}{\kappa_S}.$$
 (5)

The fully linearized equation, (5), can now be solved exactly with general linear boundary conditions, and this approximate linearization should be good for the moderate temperature dependences occurring in semiconductor systems [51], [52]. (Stronger non linearities can be treated, less compactly, within the fully analytical thermal resistance matrix approach by the equivalent linearization, $k(\theta) \rightarrow k(x, y, z, t)$ [52].) To illustrate the significance of the time variable transformation, (4), for electrothermal response [50], an analytical thermal impedance matrix is constructed to describe the response to step power input of 0.4 W, over a central square $0.1L \times 0.1L$, at the surface of a cubic GaAs die, side $L = 400 \ \mu m$. Such a configuration is illustrative of, for example, a multifinger power FET. It is found that total neglect of thermal non linearity leads to a ~ 30 K underestimate of the steady-state temperature rise of ~ 140 K. Including the inverse Kirchhoff transformation, but neglecting the inverse time variable transformation is seen to overestimate the temperature rise by $\sim 4\%$ at any given instant, or equivalently and more importantly, to underestimate the rise time required to reach a given temperature by as much as $\sim 35\%$.

Another sometimes used approximation, is that of effectively linearising the time-dependent heat diffusion equation about a typical operating point, without employing either the Kirchhoff or the time variable transformation. The error in this approach corresponds to ~6% overestimate of temperature rise, or underestimate of rise time by as much as ~60%. In addition, simply guessing a suitable operating point for linearization is highly subjective, and for the case of transient thermal variation of large amplitude, easily leads to large errors in the calculated steady-state operating temperatures. In Si systems, temperature dependence of material parameters is even more pronounced than in GaAs [58]. Full linearization of the time-dependent heat diffusion equation should therefore be implemented to obtain sufficient accuracy in electrothermal simulations.

III. ANALYTICAL SOLUTIONS

Having described the (large signal) transformation of the non linear time-dependent heat diffusion equation, to produce a fully linear problem, analytical solution of the transformed problem in terms of thermal impedance matrices is now described. The thermal impedance matrix approach reduces to construction of global heat flow functions, for power dissipating and temperature sensitive elements in semiconductor integrated circuits, in the form

$$\overline{\Delta\theta_i} = \sum_j R_{\mathrm{TH}_{ij}}(s)\overline{P_j} \tag{6}$$

where $\overline{\Delta \theta_i}$ is the Laplace transformed temperature rise of element *i* above its initial temperature, $R_{\text{TH}_{ij}}(s)$ is the thermal impedance matrix in Laplace *s*-space and the $\overline{P_j}$ are the transformed time-dependent fluxes due to power dissipation in elements, $j = 1, \ldots, i, \ldots, M$.

Formulation of the thermal impedance matrix approach in Laplace transform s-space, rather than in the time domain, is chosen for a number of reasons. Firstly, the s-space formulation is a natural development of the fully analytical thermal resistance matrix approach for the time-independent case, described by the authors previously [26]–[28]. All of the advantageous features of the analytical thermal resistance matrix approach for the coupled electrothermal description of complex systems, carry over to the time-dependent case in s-space. Secondly, the s-space formulation of the thermal impedance matrix allows immediate incorporation as a multiport distributed thermal network in circuit level harmonic balance simulators. Finally, Laplace inversion also allows use in circuit level transient simulations, and analytical inversion of s-space expressions readily gives rise to both small-time and large-time results for the thermal response, which are not easily obtained using a direct time domain formulation. However, the thermal impedance matrix approach can also be developed in the time domain using Green's function techniques. This approach is described in [30].

In the thermal impedance matrix approach presented here, $R_{TH_{ij}}(s)$ is determined in explicit analytical form, purely from structural information. It is independent of temperature and power dissipation, and hence of device bias. Its order is determined only by the number of active device elements, independent of the level of the complexity of the device structure, so is already minimal without any model reduction. Thermal updates in the coupled electrothermal problem reduce to small matrix multiplications, (6). This approach therefore offers orders of magnitude speed-up compared to numerical thermal solutions.



Fig. 1. Generic thermal subvolume for analytical construction of the thermal impedance matrix.

A. The Homogeneous Thermal Subsystem

An analytical solution to the linearized heat diffusion equation, (5), is constructed for the case of a rectangular, homogeneous, generic thermal subvolume, $0 \le x \le L$, $0 \le y \le W$, $0 \le z \le D$, with active device elements $i = 1, \ldots, M$ described by surface elementary areas, D_i , and base discretized into elementary areas, D_j (see Fig. 1). Adiabatic boundary conditions are assumed on the side faces and a generalized 'radiation' boundary condition is imposed on the top and bottom faces, z = 0, D. This can be written

$$\alpha_{0,D}\kappa_S \frac{\partial\theta}{\partial z} + H_{0,D}(\theta - \theta_{0,D}(x,y,\tau)) + p_{0,D}(x,y,\tau) = 0.$$
⁽⁷⁾

Non linear surface flux boundary conditions can be treated in the limit of a sequence of such fully linear problems [27], [59]. Here, imposed flux densities $p_{0,D}(x, y, \tau)$ are time dependent. Coefficients $H_{0,D}$ describe surface fluxes due to radiation and convection. The $\alpha_{0,D}$ equal zero for imposed temperature boundary conditions and unity for imposed flux boundary conditions. The respective ambient temperatures $(\alpha_{0,D} \neq 0)$, or heatsink mount temperatures $(\alpha_{0,D} = 0)$, are also dependent on time, $\theta_{0,D}(x, y, \tau)$. The generality of this boundary condition allows vertical matching of thermal subsystems, by interface discretization and thermal impedance matrix manipulation, as well as integral treatment of surface fluxes. However, the adiabatic sidewall boundary condition can be removed, as described later, Sections III-B and III-F, to allow horizontal interface matching and subvolume embedding.

To solve this problem, the Laplace transform is constructed giving

$$\nabla^2 \bar{\theta} - \frac{1}{k_S} [s\bar{\theta} - \theta(\tau = 0)] = 0 \tag{8}$$

assuming no volume sources or sinks, and describing surface fluxes by imposed boundary conditions, (7).

For the case of a uniform initial temperature distribution equal to uniform and time independent ambient temperature, the substitution

$$\bar{\Theta} = s\bar{\theta} - \theta(\tau = 0) \tag{9}$$

is made, giving

$$\nabla^2 \bar{\Theta} - \frac{s}{k_S} \bar{\Theta} = 0. \tag{10}$$

By separation of variables, the general solution for $\bar{\Theta}$ is of the form

$$\bar{\Theta}(s) = \sum_{mn} \cos \lambda_m x \cos \mu_n y \\ \times \left(C_{mn} \cosh \gamma_{mn} z + S_{mn} \sinh \gamma_{mn} z \right) \quad (11)$$

where m, n = 0, 1, 2, ..., and

$$\lambda_m = \frac{m\pi}{L}, \quad \mu_n = \frac{n\pi}{W}, \quad \gamma_{mn}^2 = \lambda_m^2 + \mu_n^2 + \frac{s}{k_s}.$$
 (12)

With the transformation of variable, (9), the adiabatic side wall boundary conditions retain the same form and the radiation boundary condition on the top and bottom surfaces, z = 0, D, becomes

$$\alpha_{0,D}\kappa_S \frac{\partial \Theta}{\partial z} + H_{0,D}\bar{\Theta} + s\bar{p}_{0,D}(x,y;s) = 0.$$
(13)

Within this framework, the time-dependent problem resembles very closely the time-independent problem [27], [28], thus explicit forms for the expansion coefficients are obtained from

$$H_0 C_{mn} = -\gamma_{mn} S_{mn} \alpha_0 \kappa_S - \frac{\int_0^L \int_0^W \cos(\lambda_m x) \cos(\mu_n y) s \overline{p}_0(x, y; s) \, dx \, dy}{\frac{LW}{4} (1 + \delta_{m0}) (1 + \delta_{n0})}$$
(14)

and

$$C_{mn}[\alpha_D\kappa_S\gamma_{mn}\sinh(\gamma_{mn}D) + H_D\cosh(\gamma_{mn}D)] + S_{mn}[\alpha_D\kappa_S\gamma_{mn}\cosh(\gamma_{mn}D) + H_D\sinh(\gamma_{mn}D)] = -\frac{\int_0^L \int_0^W \cos(\lambda_m x)\cos(\mu_n y)s\bar{p}_D(x,y;s)\,dx\,dy}{\frac{LW}{4}(1+\delta_{m0})(1+\delta_{n0})}.$$
(15)

Here δ_{mn} is the Kronecker delta function and the standard result

$$\int_0^L \cos \lambda_m x \cos \lambda_{m'} x \, dx = \frac{L}{2} \delta_{mm'} (1 + \delta_{m0} \delta_{m'0}) \quad (16)$$

has been used.

Such fully analytical double Fourier series solutions in Laplace transform *s*-space have been described previously [3]. They are to be distinguished from semi-analytical Fourier solutions in frequency space, which are based on collocation or function sampling, and require numerical manipulation such as DFT-FFT to obtain expansion coefficients [19].

Explicit forms for the double Fourier series expansion coefficients, C_{mn} , S_{mn} , can only be obtained for the case of constant, $\alpha_{0,D}, H_{0,D}$. Where $H_{0,D}$ varies with position, due to position dependent surface fluxes, a large linear system must be solved for the expansion coefficients [27], [28]. This computational expense can be avoided by dropping the surface flux coefficient, $H_{0,D}$, from the "radiation" boundary condition, (7), discretising the whole surface, and connecting the thermal subvolume to ambient through a set of (generally non linear) external thermal resistances describing piecewise constant surface flux [45]. This approach is discussed further in Section V. Similarly, use of a position dependent $\alpha_{0,D}$, for instance in direct construction of a thermal admittance matrix (as opposed to a thermal impedance matrix), can always be avoided by discretization of the whole surface (as in the boundary element method) and imposition of a corresponding nonmixed boundary condition.

As in the time-independent case for the homogeneous MMIC [27], [28], to illustrate a particular time-dependent form of the thermal impedance matrix, put $\alpha_0 = 1$, $H_0 = 0$ (no radiation from the top surface, z = 0) and $\alpha_D = 0$, $H_D = 1$, $p_D(x, y, \tau) = 0$, $\theta_D(x, y, \tau) = \theta(\tau = 0)$ (uniform temperature on the bottom surface, z = D, corresponding to heat sink mounting at ambient temperature). Assume a surface power density of the form

$$p_0(x, y, \tau) = \sum_i S_i(x, y) P_i(\tau) \tag{17}$$

where $S_i(x,y) = 1$ in active device elementary areas D_i , and $S_i(x,y) = 0$ otherwise, then

$$\bar{p}_0 = \sum_i S_i(x, y) \bar{P}_i.$$
(18)

The corresponding temperature distribution is given by

$$\frac{1}{s}\overline{\Theta}(s) = \overline{\theta}(s) - \frac{\theta(\tau = 0)}{s} \\
= -\sum_{mn} \cos \lambda_m x \cos \mu_n y \\
\times \frac{1}{\kappa_s LW} \frac{4}{(1 + \delta_{m0})(1 + \delta_{n0})} \sum_i I^i_{mn} \frac{1}{\gamma_{mn}} \\
\times (\sinh \gamma_{mn} z - \tanh \gamma_{mn} D \cosh \gamma_{mn} z) \overline{P_i}$$
(19)

with area integrals I_{mn}^i defined by

$$I_{mn}^{i} = \iint_{D_{i}} \cos\left(\frac{m\pi x}{L}\right) \cos\left(\frac{n\pi y}{W}\right) dx \, dy.$$
 (20)

Constructing the surface temperatures averaged over elementary areas D_i as

$$\bar{\Theta}_{\mathrm{av}_{i}} = \frac{\iint_{D_{i}} \bar{\Theta} \Big|_{z=0} dx \, dy}{\iint_{D_{i}} dx \, dy}$$
(21)

immediately gives the defining equation of the thermal impedance matrix approach, (6), in the form

$$\bar{\theta}_{\mathrm{av}_i} - \frac{\theta(\tau=0)}{s} = \sum_j R_{\mathrm{TH}_{ij}}(s) \overline{P_j}$$
(22)

where

$$R_{\text{TH}_{ij}}(s) = \frac{1}{\kappa_S LW} \sum_{mn} \frac{4 \tanh(\gamma_{mn} D)}{\gamma_{mn}(1 + \delta_{m0})(1 + \delta_{n0})} \frac{I_{mn}^i I_{mn}^j}{I_{00}^i}.$$
(23)

Extension to treat other realizations of the radiative boundary condition, (7), is immediate. This allows construction of solutions for large area substrates, with radiation and convection, and generation of series solutions for thermal subsystems with discretized interfaces, permitting vertical matching of thermal subvolume solutions in complex 3-D systems. The expression for $R_{\text{TH}_{ij}}(s)$, (23), can be written in alternative equivalent forms [3], and is readily extended to treat N-level multilayers [3]. The temperature distribution of (19), and the corresponding thermal impedance matrix of (23), reduce to the respective steady-state forms [27], [28], in the limit $s/k \to 0$, giving for the thermal resistance matrix

$$R_{\mathrm{TH}_{ij}} = \frac{1}{\kappa_S LW} \times \left[DI_{00}^j + \sum_{mn}' \frac{4 \tanh(\Gamma_{mn}D)}{\Gamma_{mn}(1+\delta_{m0})(1+\delta_{n0})} \frac{I_{mn}^i I_{mn}^j}{I_{00}^i} \right]$$
(24)

where now, $\Gamma_{mn}^2 = \lambda_m^2 + \mu_n^2$, and the sum \sum_{mn}' is over all $m, n = 0, 1, 2, \dots$ excluding (m, n) = (0, 0).

The thermal impedance matrix approach as described here means that generally, temperature will only be calculated in the vicinity of power dissipating and temperature sensitive elements, as required for the coupled electrothermal solution. No redundant temperature information will be generated on the surface or in the body of the die. However, the solution of the heat diffusion equation just described, provides analytical expressions for both the thermal impedance matrix and for the corresponding temperature distribution throughout the body of the MMIC. This means that once power dissipations, $\overline{P_i}$, have been obtained self-consistently, by employing the thermal impedance matrix in the coupled electrothermal implementation, temperature can be obtained essentially exactly, if required, at any point within the body or on the surface of the MMIC. This is of value for model validation against measured thermal images.

The matrix given by (23) represents an exact analytical solution for time-dependent 3-D heat flow in a MMIC bearing an arbitrary distribution of power dissipating and temperature sensitive elements. These elements could be transistor fingers or finger subsections, grouped in any fashion, or could represent heat dissipating passive elements or effective thermocouples at metal-substrate contacts [60]. These analytical expressions describe exactly the finite volume of the die and the finite extent of transistor fingers, without making any approximations for infinite volume or finite end effects. If a simpler, single thermal impedance description of device heating is required, the elements of the matrix can be appropriately summed to give the total, area averaged, temperature rise.

The analytical solution, (23), represents the thermal impulse response of the MMIC. It is frequency dependent as characteristic of distributed systems and contains an infinite number of poles and zeros. It corresponds directly to a multiport thermal network, Fig. 2, which cannot be represented exactly by a finite network of frequency independent primitives, (such as thermal networks generated by numerical mesh descriptions, e.g., [13]-[15], [20], [21], [44], which only give an exact thermal description in the limit of infinitely fine mesh discretization). The multiport network is already minimal, in that it describes nodes corresponding only to power dissipating or temperature sensitive elements (or discretized interface elements). It therefore constitutes a boundary condition independent compact model for the thermal time-dependent case, with 3-D heat flow described exactly by a small number of thermal impedances. The multiport network parameter interpretation presented here, makes the thermal impedance matrix approach immediately compatible with network based electromagnetic and electrical circuit solvers [46], without the need for explicit RC network approximation, or for any model reduction beyond that implicit in summation of infinite series to just a finite number of terms.

The thermal impedance matrix, (23), can either be used directly in frequency space, for instance in harmonic balance simulations, or Laplace inverted to describe thermal time dependence directly in transient simulations. For the harmonic balance case, the solution for the thermal impedance matrix is just of the *s*-dependent form, (23), with $s \rightarrow j\omega$. It takes the form of an array of frequency dependent complex phasors containing phase and amplitude information for the (asymptotic) sinusoidal response to harmonic forcing. This matrix then corresponds to the network parameters of a distributed (originally non linear) multiport thermal network.

Having obtained transformed temperature in s-space, $\overline{\theta}(s)$, and assuming $\overline{P_j}$ corresponding to simple step inputs of magnitudes P_j , analytical inversion gives the corresponding time domain thermal impedance matrix, $R_{\text{TH}_{ij}}(\tau)$, corresponding to step input

$$R_{\mathrm{TH}_{ij}}(\tau) = \mathcal{L}^{-1} \left\{ R_{\mathrm{TH}_{ij}}(s) \frac{1}{s} \right\}$$

= $\frac{1}{\kappa_S L W} \frac{2}{D} \sum_{lmn} \frac{4}{(1 + \delta_{m0})(1 + \delta_{n0})} \frac{I_{mn}^i I_{mn}^j}{I_{00}^i}$
 $\times \frac{1 - \exp\left\{ -\pi^2 \left[\left(\frac{l + 1/2}{D} \right)^2 + \left(\frac{m}{L} \right)^2 + \left(\frac{m}{W} \right)^2 \right] k \tau \right\}}{\pi^2 \left[\left(\frac{l + 1/2}{D} \right)^2 + \left(\frac{m}{L} \right)^2 + \left(\frac{m}{W} \right)^2 \right]}$ (25)

with $l, m, n = 0, 1, 2, \dots$ Taking the limit $\tau \to \infty$ and performing the *l* summation explicitly, the time-independent result is recovered [27], [28]. Using the Watson transformation [61] and the Poisson summation formula [62], series solutions such as (23)–(25) can be partially summed explicitly in closed form, and partially accelerated to give even more rapidly evaluated expressions. These results are presented elsewhere [63]. Such treatment can be particularly important for the description of small elements on large die, which can require summation of large numbers of terms for sufficient resolution. Averaging power dissipations over larger areas can give differences of several decades in calculated time constants, compared to accurate representation of highly localized heating [32], [64]. Ac-



Fig. 2. Thermal *N*-port generated directly from analytical solution of the heat diffusion equation, and represented by thermal impedance matrix, $R_{TH_{ij}}(s)$.

curacy in such descriptions is relevant, for instance, in studies of thermal intermodulation in power HEMTs [32].

Equations (23) and (25) give immediately pole-zero or time constant representations for the thermal impedance matrices. Writing [65]

$$R_{\rm TH}(t) = \sum_{i} R_i (1 - \exp(-t/\tau_i))$$
 (26)

with $i \equiv (l, m, n)$, it is apparent from (25) that R_i and τ_i are obtained in explicit analytical form. Retaining just those terms corresponding to the dominant time constants gives a representation similar to that abandoned by Napieralski *et al.* [21] because it could not be obtained in a simple parameterized form. This description generalizes immediately to complex 3-D systems, as described below.

Although it is distributed, the finite thermal system is seen not to be represented by a continuous time constant spectrum, but by a countably infinite number of time constants. However, summing all contributions within range ζ to $\zeta + \Delta \zeta$, where $\zeta = \ln \tau$ [65], essentially continuous spectra are obtained (see Fig. 3). These spectra are calculated for a silicon chip considered by Szekely *et al.* [65] and agreement with the calculated results presented in Fig. 23 of that paper is good. Exact agreement is not to be expected, as details of the time constant spectrum depend on the magnitude and placement of intervals $\Delta \zeta$. The two curves shown correspond to division of the calculated eight decade logarithmic interval, into 40 (solid line) and 80 (dotted line) equal subdivisions, respectively.

Combining tables of standard integrals, e.g., [43], with expressions for the inverse Laplace transform (using properties of theta functions) [66], gives the equivalent time-domain form of the thermal impedance matrix (27), shown at the bottom of the next page. This form of the time domain thermal impedance matrix is found to be far more rapidly convergent at very small times. It is an alternative to the explicit time constant form, (25).

Even though analytical inversion is readily achieved, numerical inversion is highly accurate and algorithmically simple to implement. It requires only evaluation of the Laplace transform



Fig. 3. Time constant spectra obtained from (25) and (26) for a Si chip considered by Szekely *et al.* [65].

and a corresponding weight function, at a small number of real or complex *s*-points [67]–[70],

$$\mathcal{L}^{-1}\{f(s)\}_{t=\tau_p} = \sum_{\mu} w_{\mu} f(s_{\mu}),$$
(28)

with w_{μ} and s_{μ} determined uniquely for a given τ_p . Typically five or six *s*-points are adequate so this approach can be computationally much cheaper than analytical inversion.

Fig. 4 shows temperature rise with time at turn-on, calculated using the thermal impedance matrix approach, for cubic GaAs die of side L = 300,400 and $500 \ \mu m$, dissipating respectively 0.3, 0.4, and 0.5 W over a central square element of side 0.1L on the die surface [71].

The observed trend in the calculated time response, with variation in die size, could not be predicted over the whole time range on the basis of commonly used thermal models, which invoke an infinite or semi-infinite substrate approximation. The significance of these results is discussed more fully in [71]. The differences in results obtained by analytical, (27), and numerical, (23) and (28), Laplace inversion respectively, are indistinguishable on the scale of this plot. Numerical Laplace inversion gives by far the fastest solution, and the explicit time constant form, (25), requires summation of an impractical number of terms for sufficient accuracy at very small times.

B. Volume Sources

To construct the time dependent thermal solution with volume heat sources/sinks and arbitrary initial conditions, requires the solution of Helmholtz's equation in Laplace



Fig. 4. Temperature rise with time at turn-on, in the immediate vicinity of the device active region in a central square, $0.1L \times 0.1L$, on the surface of cubic die, side $L = 300, 400, 500 \ \mu$ m, dissipating 0.3, 0.4, 0.5 W [71].

transform *s*-space. This section presents an original technique for the generation of double Fourier series solutions, describing arbitrarily distributed volume heat sources and sinks, without the use of Green's functions. It therefore greatly extends the descriptive power of the Fourier approach beyond the surface and interface source terms that have been treated previously [19], [27], [28].

Writing the time-dependent heat diffusion equation with volume heat source in *s*-space

$$\nabla^2 \bar{\theta} - \frac{s}{k_S} \bar{\theta} = -\left[\frac{1}{\kappa_S} \bar{g}(x, y, z; s) + \frac{\theta(\tau = 0)}{k_S}\right]$$
(29)

and assuming a generalized double Fourier series solution of the form

$$\bar{\theta}(s) = \sum_{mn} \cos \lambda_m x \cos \mu_n y Z_{mn}(z) \tag{30}$$

gives

$$\frac{d^2 Z_{mn}}{dz^2} - \gamma_{mn}^2 Z_{mn} = G_{mn}(z)$$
(31)

where

$$G_{mn}(z) = \frac{-4}{(1+\delta_{m0})(1+\delta_{n0})LW} \times \int_0^L \int_0^W \cos \lambda_m x \cos \mu_n y \times \left[\frac{1}{\kappa_S} \bar{g}(x,y,z;s) + \frac{\theta(\tau=0)}{k_S}\right] dy \, dx. \quad (32)$$

$$R_{\mathrm{TH}_{ij}}(\tau) = \frac{2}{\kappa_S LW} I_{00}^{j} \left\{ \sqrt{\frac{k\tau}{\pi}} + 2\sum_{l=1}^{\infty} (-1)^l \left[\sqrt{\frac{k\tau}{\pi}} e^{-D^2 l^2 / (k\tau)} - Dl \operatorname{erfc}\left(\frac{Dl}{\sqrt{k\tau}}\right) \right] \right\} + \frac{1}{\kappa_S LW} \sum_{mn}^{\prime} \frac{4}{(1+\delta_{m0})(1+\delta_{n0})} \frac{I_{mn}^i I_{mn}^j}{I_{00}^i} \frac{1}{\Gamma_{mn}} \left\{ \times \begin{bmatrix} \operatorname{erf}\left(\Gamma_{mn}\sqrt{k\tau}\right) - \sum_{l=1}^{\infty} (-1)^l \\ \exp\left\{ -\frac{2Dl\Gamma_{mn}}{\ln \sqrt{k\tau}} \right\} \\ +\ln\left[\operatorname{erfc}\left(\frac{Dl}{\sqrt{k\tau}} + \Gamma_{mn}\sqrt{k\tau}\right)\right] \right\} \\ -\exp\left\{ -\frac{2Dl\Gamma_{mn}}{\ln \left[\operatorname{erfc}\left(\frac{Dl}{\sqrt{k\tau}} - \Gamma_{mn}\sqrt{k\tau}\right)\right]} \right\} \end{bmatrix} \right\}.$$
(27)

To solve (31) define

$$Z_{mn} = e^{\gamma_{mn}z} z_{mn} \tag{33}$$

and make the substitution

$$\zeta_{mn} = \frac{dz_{mn}}{dz} \tag{34}$$

to reduce (31) to an equation of 1st-order in ζ_{mn} . This linear 1st-order equation can be solved by use of a simple integrating factor, giving the general solution

$$Z_{mn} = e^{-\gamma_{mn}z} \int_0^z e^{2\gamma_{mn}z'} \int_0^{z'} e^{-\gamma_{mn}z''} G_{mn}(z'') dz'' dz' + \frac{c_{1mn}}{2\gamma_{mn}} e^{\gamma_{mn}z} + c_{2mn}e^{-\gamma_{mn}z}.$$
 (35)

This solution of (31) contains two arbitrary constants and is a general solution. No constitutive equation with δ -function source has been formulated and no solution of such an equation constructed, so no Green's function GF(z,z') (or GF(x,y,z,x',y',z') in three dimensions) has been used in this solution of the time-dependent heat diffusion equation with volume source. However, integrating one by parts and absorbing a sum in the arbitrary constant, C1_mn, 2 mm can be expressed in sums of the 1-D Green's function.

More generally, the approach of (33) and (34) supplies a solution to the problem

$$a\frac{d^2Z}{dz^2} + b\frac{dZ}{dz} + cZ = G(z) \tag{36}$$

where a, b, c are simultaneously piecewise constant, without the use of Green's functions. It is a constructive solution which avoids the need for trial and error in obtaining a particular integral. With G(z) the Dirac δ -function this method can be used to construct Green's functions. As the integrals of (35) are easy to construct for simple G(z), such as sums of step functions, this approach offers a 2 × 2 transfer matrix solution to 1-D numerical problems without the need to formulate large sparse matrices for finite difference operators. Finally, as (35) represents a general solution with no Green's function containing in-built boundary conditions, it supplies a solution to the twopoint boundary value problem, (36), with arbitrary non linear boundary conditions treated by a subsidiary non linear problem of order two.)

These solution techniques are individually implicit in texts such as [72]. However, the authors believe that this double Fourier series method, for treatment of arbitrary volume sources or sinks without use of Green's function techniques, represents an original approach to solution of the time-independent and time-dependent heat diffusion equations. This approach is not discussed in texts such as [43], [73], and [74]. The double series solution, (30), is to be compared with much more computationally expensive triple series solutions obtained using time domain Green's functions. In the time domain, the authors' approach can give both small-time and large-time series solutions, which may not be readily obtainable using such Green's functions. The authors note, however, that identical solutions are often achievable by alternative techniques.



Fig. 5. Thermal subvolume with arbitrary distribution of power dissipating volume sources.

In particular, separation of variables for construction of the 3-D Green's function, followed by construction of the Green's function for the resulting 1-D Helmholtz equation, is a widely used technique, e.g., [75] (Appendix A2) for time-independent solution of the Poisson equation. Such an approach might therefore be expected to produce similar results to those described below, though the existence of alternative methods offers the possibility of simplifications in the analysis by appropriate choice of technique, compare e.g., [45].

Using the above approach, the thermal impedance matrix for power dissipating volumes, distributed arbitrarily throughout the body of a heatsink mounted MMIC (Fig. 5) is given by

$$R_{\text{TH}_{ij}}(s) = \frac{1}{\kappa_S LW} \sum_{mn} \frac{I_{mn}^i I_{mn}^j}{I_{00}^i} \\ \times \frac{-4}{(1 + \delta_{m0})(1 + \delta_{n0})} \frac{1}{\gamma_{mn}^2} \\ \times \left[\frac{\frac{\sinh \gamma_{mn} z_{i2} - \sinh \gamma_{mn} z_{i1}}{\gamma_{mn} (z_{i2} - z_{i1})}}{\times \frac{[\cosh \gamma_{mn} (D - z_{i1}) - \cosh \gamma_{mn} (D - z_{i2})]}{\cosh \gamma_{mn} (z_{i2} - z_{i1})}} \right].$$
(37)

Here, z_{i1} , z_{i2} are the z-coordinates of the planes bounding heat dissipating volume, *i*, in the z-direction, and the I_{mn}^i are the area integrals over the x - y cross sections, D_i , of heat dissipating volumes, *i*, (20). This expression is to be compared with the thermal impedance matrix for power dissipating surface areas, (23). Taking the limit, $z_{i2} \rightarrow z_{i1}$, gives the solution for a die with dissipating areas distributed arbitrarily throughout its volume, of value for instance in describing the buried channels below the semiconductor surface of a multigate power FET. Taking the further limit, z_{i2} , $z_{i1} \rightarrow 0$, reproduces (23).

By letting the power dissipating volumes tend to power dissipating surface areas, this thermal solution can be used to describe arbitrary surface flux distributions, effectively removing the adiabatic surface boundary condition from all subvolume faces. Similarly, this solution can be used to describe flux distributions over arbitrary internal surfaces (Fig. 6). Such a construction, in combination with the analytical solution to be presented in Section III-F, below, can then be used to describe inhomogeneous structures.



Fig. 6. Illustration of power dissipation over an internal surface, described by the analytical solution of Section III-B.

This solution also makes possible treatment of the time-dependent problem for other than homogeneous initial conditions. It therefore allows construction of a time-stepping thermal impedance matrix formulation for transient electrothermal simulations, with repeated analytical resetting of initial conditions for the whole distributed thermal volume. Details will be presented elsewhere.

C. Rectangular N-Layer

The simple descriptions of the homogeneous MMIC, presented above, are readily generalized to treat multilayer systems by use of a transfer matrix, or two-port network, approach [11]. This is based on matching of Fourier components at interfaces, and corresponds to use of the double cosine transform to convert the 3-D partial differential equation (5), into a 1-D ordinary differential equation for the z-dependent double Fourier series coefficients, as described in the previous section. Matching of linearized temperature and flux at the interfaces of a multilayer structure can then be imposed by use of a 2×2 transfer matrix on the Fourier series coefficients and their derivatives. Arbitrary N-level structures can be treated. Different thermal conductivities can be assumed in each layer allowing treatment of composites like Cu on AlN (both having temperature independent thermal conductivities) and MMICs with conductivities varying from layer to layer due to differences in doping levels (all layers having the same functional form for the temperature dependence of the conductivity).



Fig. 7. Illustrative *N*-level multilayer thermal subvolume for analytical construction of thermal transfer impedance.

The corresponding form for the thermal impedance matrix is, Fig. 7

$$R_{\text{TH}_{ij}}(s) = \sum_{mn} \cos \lambda_m x_i \cos \mu_n y_i (\alpha_{mn} / \beta_{mn})$$
$$\times \frac{-4}{\kappa_1 L W (1 + \delta_{m0}) (1 + \delta_{n0}) \gamma_{mn}^{(1)}} I_{mn}^j \quad (38)$$

where

$$\begin{pmatrix} \alpha_{mn} \\ \beta_{mn} \end{pmatrix} = \underline{\underline{M}}^{(1)} \underline{\underline{M}}^{(2)} \cdots \underline{\underline{M}}^{(N-1)} \begin{pmatrix} 1 \\ -\operatorname{coth} \gamma_{mn}^{(N)} D_N \end{pmatrix}$$
(39)

with

$$\gamma_{mn}^{(r)} = \left(\lambda_m^2 + \mu_n^2 + \frac{s}{k_r}\right)^{1/2} \tag{40}$$

and the layers have thermal conductivity, κ_r , diffusivity, k_r , and interface z-coordinates, D_r , r = 1, ..., N, (with $D_0 = 0$). The $\underline{M}^{(r)}$ are analytically obtained 2 × 2 matrices, explicitly determined entirely by $\kappa_r, \kappa_{r+1}, \gamma_{mn}^{(r)}, \gamma_{mn}^{(r+1)}$ and D_r as (41), shown at the bottom of the page. These transfer matrices become nearly singular at high frequencies, simplifying construction of the multilayer thermal response.

To illustrate the accuracy and speed of this method [38], the above analytical solution for an N-level multilayer, with $s \rightarrow j \omega$, is used to plot the complex locus of the thermal transfer impedance in Fig. 8. The four-layer, heatsink mounted device considered, is a structure examined by Szekely *et*

$$\underline{\underline{M}}^{(r)} = \cosh \gamma_{mn}^{(r)} D_r \cosh \gamma_{mn}^{(r+1)} D_r \\
\times \left(\begin{array}{c} 1 - \frac{\gamma_{mn}^{(r+1)} \kappa_{r+1}}{\gamma_{mn}^{(r)} \kappa_r} \tanh \gamma_{mn}^{(r)} D_r \tanh \gamma_{mn}^{(r+1)} D_r & \tanh \gamma_{mn}^{(r+1)} D_r - \frac{\gamma_{mn}^{(r+1)} \kappa_{r+1}}{\gamma_{mn}^{(r)} \kappa_r} \tanh \gamma_{mn}^{(r)} D_r \\
- \tanh \gamma_{mn}^{(r)} D_r + \frac{\gamma_{mn}^{(r+1)} \kappa_{r+1}}{\gamma_{mn}^{(r)} \kappa_r} \tanh \gamma_{mn}^{(r+1)} D_r & \frac{\gamma_{mn}^{(r+1)} \kappa_{r+1}}{\gamma_{mn}^{(r)} \kappa_r} - \tanh \gamma_{mn}^{(r)} D_r \tanh \gamma_{mn}^{(r+1)} D_r \end{array} \right).$$
(41)



Fig. 8. Complex locus of the thermal transfer impedance, calculated using an analytical series expression, for a four-layer, heatsink mounted structure examined by Szekely *et al.* [23], [48].

al., ([23] Figs. 5 and 6; [48] Fig. 17). Agreement with the calculations of Szekely seems good. The data for this figure took less than 1 s to generate on a 500 MHz Pentium processor and consists of 65 frequency points. This can be compared with Szekely's published steady-state simulation times, [76]. The comparison suggests that despite the speed of the FFT, the need to generate redundant temperature information on a surface mesh in Szekely's semianalytical function sampling or collocation approach, makes the fully analytical double Fourier series transfer matrix method at least $10 \times$ faster for the same number of basis states.

The method can be generalized further, by imposing specified flux discontinuities at the interfaces. The solution then represents, for instance, the case of a MMIC with active device channel buried by a thin layer of semiconductor, as described by (37) (with $z_{i2} \rightarrow z_{i1}$), but distinguishing the thermal conductivities of the various semiconductor layers. This transfer matrix approach can also be combined with the volume heat source solution of Section III-B, to describe rectangular *N*-layers containing an arbitrary distribution of power dissipating volumes, without the need to introduce artificial interfaces. Details are presented elsewhere [77].

The Kirchhoff transformation is exact for N-level multilayers with the same functional form (but different values) for the temperature dependent thermal conductivity in each layer. A single, global Kirchhoff transformation is also a good approximation for multilayers in which the functional form of $\kappa(T)$ differs between layers, so long as an appropriately modified effective value for κ_S is chosen in each layer for which the global transformation is not exact [78], [79].

D. MMIC Superstructure

It has been demonstrated that inclusion of surface metallization is essential for accurate description of thermal effects in power devices [54], [79]–[81]. Comparison with experiment for multifinger power HBTs shows that the simple thermal description corresponding to the resistance matrix of (24) is highly accurate when combined with a simple model of heat shunting by an air bridge [82]. The analytical thermal resistance and impedance matrix approach presented here, has been designed to allow descriptions of surface metallization and air bridges, and other vertical geometries such as flip chips and solder bumps, as well as MMIC arrays, as outlined below.

The extension to include complex 3-D structure is achieved by solving the heat diffusion equation analytically for thermal sub elements, then combining thermal impedance matrices for subsystems by matching of temperature and flux at discretized interfaces. For illustration, specifying flux on top and bottom surfaces, z = 0, D, and assuming no radiative or convective surface losses, ($\alpha_{0,D} = 1, H_{0,D} = 0$), the following relations are obtained for temperatures, $\overline{\theta}_{0 \text{ av}_i}$ and $\overline{\theta}_{D \text{ av}_j}$, averaged over elementary areas, D_i , and D_j , on faces z = 0 and z = D, respectively, Fig. 1

$$\bar{\theta}_{0 \operatorname{av}_{i}} - \frac{\theta(\tau = 0)}{s} = \sum_{i'} R^{00}_{\operatorname{TH}_{ii'}} \bar{P}_{0i'} + \sum_{j} R^{0D}_{\operatorname{TH}_{ij}} \bar{P}_{Dj}$$
$$\bar{\theta}_{D \operatorname{av}_{j}} - \frac{\theta(\tau = 0)}{s} = \sum_{i} R^{D0}_{\operatorname{TH}_{ji}} \bar{P}_{0i} + \sum_{j'} R^{DD}_{\operatorname{TH}_{jj'}} \bar{P}_{Dj'}.$$
(42)

Here, \bar{P}_{0i} and \bar{P}_{Dj} are respective imposed fluxes in elementary areas, D_i and D_j , on faces z = 0 and z = D. The thermal impedance matrices are obtained in the explicit form

$$R_{\mathrm{TH}_{ii'}}^{00} = \frac{1}{\kappa_S LW} \sum_{mn} \frac{4 \coth \gamma_{mn} D}{(1+\delta_{m0})(1+\delta_{n0})\gamma_{mn}} \frac{I_{mn}^{0i} I_{mn}^{0i'}}{I_{00}^{0i}}$$

$$R_{\mathrm{TH}_{ij}}^{0D} = \frac{1}{\kappa_S LW} \sum_{mn} \frac{-4 \operatorname{cosech} \gamma_{mn} D}{(1+\delta_{m0})(1+\delta_{n0})\gamma_{mn}} \frac{I_{mn}^{0i} I_{mn}^{Dj}}{I_{00}^{0i}}$$

$$R_{\mathrm{TH}_{ji}}^{D0} = \frac{1}{\kappa_S LW} \sum_{mn} \frac{4 \operatorname{cosech} \gamma_{mn} D}{(1+\delta_{m0})(1+\delta_{n0})\gamma_{mn}} \frac{I_{mn}^{Dj} I_{mn}^{0i}}{I_{00}^{Dj}}$$

$$R_{\mathrm{TH}_{jj'}}^{DD} = \frac{1}{\kappa_S LW} \sum_{mn} \frac{-4 \coth \gamma_{mn} D}{(1+\delta_{m0})(1+\delta_{n0})\gamma_{mn}} \frac{I_{mn}^{Dj} I_{mn}^{Dj}}{I_{00}^{Dj}}$$

$$R_{\mathrm{TH}_{jj'}}^{DD} = \frac{1}{\kappa_S LW} \sum_{mn} \frac{-4 \coth \gamma_{mn} D}{(1+\delta_{m0})(1+\delta_{n0})\gamma_{mn}} \frac{I_{mn}^{Dj} I_{mn}^{Dj'}}{I_{00}^{Dj'}}$$

$$R_{\mathrm{TH}_{jj'}}^{DD} = \frac{1}{\kappa_S LW} \sum_{mn} \frac{-4 \coth \gamma_{mn} D}{(1+\delta_{m0})(1+\delta_{n0})\gamma_{mn}} \frac{I_{mn}^{Dj} I_{mn}^{Dj'}}{I_{00}^{Dj'}}$$

$$R_{\mathrm{TH}_{jj'}}^{DD} = \frac{1}{\kappa_S LW} \sum_{mn} \frac{-4 \coth \gamma_{mn} D}{(1+\delta_{m0})(1+\delta_{n0})\gamma_{mn}} \frac{I_{mn}^{Dj} I_{mn}^{Dj'}}{I_{00}^{Dj'}}$$

$$R_{\mathrm{TH}_{jj'}}^{DD} = \frac{1}{\kappa_S LW} \sum_{mn} \frac{-4 \coth \gamma_{mn} D}{(1+\delta_{m0})(1+\delta_{n0})\gamma_{mn}} \frac{I_{mn}^{Dj} I_{mn}^{Dj'}}{I_{00}^{Dj'}}$$

$$R_{\mathrm{TH}_{jj'}}^{DD} = \frac{1}{\kappa_S LW} \sum_{mn} \frac{-4 \coth \gamma_{mn} D}{(1+\delta_{m0})(1+\delta_{m0})\gamma_{mn}} \frac{I_{mn}^{Dj} I_{mn}^{Dj'}}{I_{00}^{Dj'}}$$

where the I_{mn}^{0i} and I_{mn}^{Dj} are area integrals of the form, (20), over elementary areas D_i and D_j , on faces z = 0 and z = D, respectively. These expressions are readily inverted analytically or numerically, as described in Section III-A, to give (fully parameterized) time domain results. As described in [38], analytical inversion gives rise to explicit expressions for the individual terms in pole-zero or time constant representations and allows direct construction of time constant spectra.

(Resistance matrix expressions for the thermal time-independent case have been given in [28]. Alternative forms for the series constructions given there, can be obtained using closed form summation and series acceleration techniques [63].)

These series expressions represent generalized multiport Z-parameters for the distributed thermal subsystems. Network parameter descriptions for thermal systems have been described previously, [20]. However, the construction of network parameters described in [20] was neither analytical, nor simple, and required complex numerical manipulations based on the boundary element method. This model also did not treat thermal non linearity, and involved approximate fitting of network parameters and explicit model reduction. Time domain simulation in [20] also required additional complex

manipulation to convert the admittance matrix description to a system of integral equations treatable by the circuit simulator. This is in contrast to direct generation of the time-domain response described in this work, based on Laplace *s*-space formulation and analytical or numerical Laplace inversion.

Combining the thermal impedance matrices for individual subvolumes, a global thermal impedance matrix for complex 3-D systems can be obtained. This is illustrated in the next section [38] for the case of a metallized MMIC. More generally, thermal subsystems can be represented individually by netlist elements in circuit simulation. Expressing the thermal impedance matrices as non linear elements in the time domain, then allows non linear matching of interface temperatures at subsystem interfaces, in those cases where the functional form of the Kirchhoff transformation differs between subvolumes.

The *s*-space formulation means that no artificial piecewise constant time dependence is assumed for interface fluxes, in contrast to the time-domain USE method [43]. However, the thermal impedance matrix approach can be developed within the USE framework [30], with direct time domain interface matching, where it avoids repeated matrix inversion.

E. Global Impedance Matrices

Construction of thermal impedance matrices is now described for more complex systems, such as power FETs and MMICs with surface metallization, Fig. 9.

To illustrate the interface matching approach, the global thermal impedance matrix is constructed for the case of N pieces of rectangular, but otherwise arbitrary, metallization on the surface of an otherwise homogeneous heatsink mounted MMIC. Multiple levels of metallization are treated in the same fashion. Matching flux and (linearized) temperature at the interface between metal and MMIC die, the following relation is obtained:

$$\underline{\Delta\theta}^{a} = \underline{\underline{R}}_{\mathrm{TH}}^{\mathrm{glob}} \underline{\underline{P}}^{a} \tag{44}$$

where

 $\underline{\underline{P}}^{a}$ vector of MMIC active device power dissipations; $\underline{\underline{R}}_{TH}^{\text{glob}}$ global thermal impedance matrix for the coupled GaAs and metal system;

 $\Delta \theta^a$ vector of MMIC active device temperature rises.

The global impedance matrix is given explicitly by

$$\underline{\underline{R}}_{\mathrm{TH}}^{\mathrm{glob}} = \underline{\underline{R}}_{\mathrm{TH}}^{aa} + \underline{\underline{R}}_{\mathrm{TH}}^{ai} \underline{\underline{R}}^{-1} \underline{\underline{R}}_{\mathrm{TH}}^{ia}$$
(45)
$$\underline{\underline{R}} = \mathrm{diag} \left(\underline{\underline{R}}_{\mathrm{TH}}^{DD(1)}, \dots, \underline{\underline{R}}_{\mathrm{TH}}^{DD(n)}, \dots, \underline{\underline{R}}_{\mathrm{TH}}^{DD(N)} \right) - \underline{\underline{R}}_{\mathrm{TH}}^{ii}.$$
(46)

Here, $\underline{\underline{R}}_{\text{TH}}$ of (23) for the MMIC die has been partitioned by active device elementary areas, a, and interface elementary areas between MMIC die and metal, i, and the $\underline{\underline{R}}_{\text{TH}}^{DD(n)}$ are thermal impedance matrices for each piece of metallization, (43).

Thus, by simple matrix manipulation, the global thermal impedance matrix for the metallized MMIC can be obtained as an explicit matrix expression for any given value of Laplace transform variable, *s*. Also, using the simple algorithm for the numerical Laplace inverse, (28), the value of the global thermal impedance matrix can be evaluated at any time step,



Fig. 9. Surface metallization of a power MESFET.

 $n\delta\tau$, in the time domain. The *s*-space formulation means that when power dissipation is known *a priori*, temperature can be obtained directly at any required instant, without the need to take consecutive timesteps from $\tau = 0$. In cases where non linear interface matching cannot be neglected, the thermal impedance matrix approach allows formulation of a non linear system of equations for the correctly matched temperatures [27].

The significance of the relation, (45), should be stressed. It represents an explicit analytical expression for the solution of the time-dependent heat diffusion equation in an arbitrarily complex 3-D volume. In contrast to conventional numerical techniques, such as FDTD or FETD, it requires no volume mesh, discretising only interfaces (and power dissipating and temperature sensitive elements). It is therefore extremely simple to formulate and implement, avoiding the large preparation times of FE simulations, as well as the intricacies of FDTD and FETD code for complex structures. The solution is modular and hierarchical, so once the global impedance matrix has been constructed for a single metallized MMIC, this could then, for example, be used to describe each MMIC in an $N \times N$ MMIC array. The global impedance matrix for the metallized MMIC would only have to be constructed once, to describe all N^2 identical MMICs. It could also be stored for re-use in later coupled electrothermal simulations, cutting later precomputation time effectively to zero. Finally, there is no restriction on heat loss mechanisms involved in this solution, and for instance, ultimate heat loss from the system could be purely by radiation and convection from the grid array substrate, without any heatsink mounting.

This method therefore avoids all the previous limitations of fully analytical approaches, as listed for instance in [49], and provides a natural solution to the problem of variation in length scale over the whole of an electrothermal system. Resolution of temperature in each thermal subsystem is defined by its local coordinate system and the corresponding double Fourier series expansion. There is no need for any sort of uniform mesh resolving the finest detail at all length scales, or for imposed non uniform grid construction. Also, by development of closed form and accelerated expressions for the thermal impedance matrices, as indicated earlier and presented elsewhere [63], all series convergence rates are fast and resolution limits are removed within any single thermal subsystem.

The method presented here is immediately compatible with explicitly coupled electrothermal device and circuit level simulation on CAD timescales. The directly coupled thermal impedance matrix approach represents 'near exact' solution of the non linear time dependent heat diffusion equation for the complex 3-D system, at points, or averaged over regions, corresponding to power dissipating and temperature sensitive elements. The only approximations are finite interface discretization between thermal subsystems; in the time domain, the assumption of piecewise constant time variation and numerical Laplace inversion if employed; use of a single global Kirchhoff transformation if non linear interface matching between subsystems in not imposed; and partial treatment of temperature dependent diffusivity. This "near exact" compact model for arbitrarily complex structures, is to be contrasted with simplified compact component models, based on reduced dimensional solutions of the 3-D heat diffusion equation and neglecting detailed device structure such as die surface metallization, e.g., [14].

Finally, the authors' approach allows netlist construction of any thermal system constructable from rectangular subvolumes. This is again in contrast to component models such as those of [14], which require individual analysis and construction of an appropriate discretization, before they can be entered into the circuit simulator component library.

F. Inhomogeneous Thermal Conductivity

The analytical double Fourier series solution for the thermal impedance matrix can be further generalized to treat, essentially exactly, piecewise uniform, but otherwise arbitrarily inhomogeneous thermal conductivity, such as full and partial thickness vias, and partial substrate thinning in power transistors and MMICs. A computationally much cheaper, but approximate, treatment of vias, based on the the simple equivalence principle method of Bonani *et al.*, [10], [12], [83], has also been implemented within the thermal resistance matrix approach. Construction of such solutions for the time-independent case is described in [28].

Vertical matching obtainable by use of the "radiation" boundary condition (7) can be extended by removal of the adiabatic side wall assumption (Fig. 10). This allows horizontal matching of rectangular subvolumes for which flux boundary conditions are prescribed on all faces. The corresponding double Fourier series solution takes the form

$$\bar{\theta}(s) = \frac{\theta(\tau = 0)}{s} + \frac{1}{s} \\ \times \sum_{mn} \begin{cases} \cos \lambda_m x \cos \mu_n y \\ \times (A_{mn} \cosh \gamma_{mn} z + B_{mn} \sinh \gamma_{mn} z) \\ + \cos \mu_m y \cos \gamma_n z \\ \times (C_{mn} \cosh \lambda_{mn} x + D_{mn} \sinh \lambda_{mn} x) \\ + \cos \lambda_m x \cos \gamma_n z \\ \times (E_{mn} \cosh \mu_{mn} y + F_{mn} \sinh \mu_{mn} y) \end{cases}$$

$$(47)$$



Fig. 10. Illustration of power dissipation over the whole external surface of a rectangular subvolume, described by the analytical solution of Section III-F.

where,

$$\lambda_{n} = \frac{n\pi}{L}, \quad \mu_{n} = \frac{n\pi}{W}, \quad \gamma_{n} = \frac{n\pi}{D}, \\ \lambda_{mn}^{2} = \mu_{m}^{2} + \gamma_{n}^{2} + \frac{s}{k_{S}}, \quad \gamma_{mn}^{2} = \lambda_{m}^{2} + \mu_{n}^{2} + \frac{s}{k_{S}}, \quad \text{and} \\ \mu_{mn}^{2} = \lambda_{m}^{2} + \gamma_{n}^{2} + \frac{s}{k_{S}}.$$
(48)

The expansion coefficients A_{mn}, B_{mn}, \ldots , are all obtained as explicit analytical expressions (when surface flux coefficient, H, is excluded from the surface boundary condition). Again, this solution is not described in standard texts such as [43], [73], [74].

Combining the above solution with that for arbitrary distributions of heat sources described in Section III-B, gives a fully analytical description of inhomogeneous structures based on small dense matrix manipulation.

IV. COUPLED ELECTROTHERMAL TRANSIENT

The thermal impedance matrix in *s*-space can be used directly in coupled electrothermal harmonic balance simulations by putting $S \rightarrow j\omega$. In this case, the matrix of frequency dependent complex phasors corresponds to the network parameters of the distributed multiport thermal network. It is inserted directly into the MNAM for the microwave system and so does not increase the number of non linear equations describing the coupled solution.

In the coupled electrothermal transient problem, Laplace transformed active power dissipations, $\bar{P}_j(s)$, are not known explicitly and must be obtained by self-consistent solution. To combine the electrical and thermal descriptions, the corresponding $P_j(\tau)$ must therefore be discretized in time. Dividing the time interval of interest into equal subintervals of length



Fig. 11. Thermal equivalent circuit corresponding to resistance matrix $R_{TH_{ij}}$ [26], [82].

 $\delta \tau$, with the $P_j(\tau)$ taking the piecewise constant form (for illustration)

$$P_j(\tau) = P_j^{(n)} \quad \text{for } (n-1)\delta\tau < \tau \le n\delta\tau, \quad n = 1, \dots, N$$
(49)

then gives

$$\bar{P}_j(s) = \sum_n \frac{1}{s} (1 - e^{-s\delta\tau}) e^{-(n-1)s\delta\tau} P_j^{(n)}.$$
 (50)

Laplace inverting the impedance matrix equation, (22), the temperature rise of element *i* at time $\tau = m\delta\tau$, $\Delta\theta_i^{(m)}$, is obtained as a function of the $P_j^{(n)}$. Writing $\Delta\theta_i^{(m)} = \Delta\theta_i^{(m)}(P_i^{(m)})$ from the electrical model then gives

$$\Delta \theta_{i}^{(m)} \left(P_{i}^{(m)} \right) = \mathcal{L}^{-1} \{ R_{\mathrm{TH}_{ij}}(s) \bar{P}_{j}(s) \}_{\tau = m \delta \tau}, \qquad (51)$$
$$= \sum_{n} \sum_{j} [u(m-n+1) R_{\mathrm{TH}_{ij}}((m-n+1)\delta \tau) - u(m-n) R_{\mathrm{TH}_{ij}}((m-n)\delta \tau)] P_{i}^{(n)} \qquad (52)$$

where $u(\tau)$ is the unit step function.

This corresponds to N systems of equations in M unknowns, where N is the number of discretized time points in the time interval under consideration, and M is the number of power dissipating or temperature sensitive elements. The Laplace inversion, with piecewise constant power dissipation, avoids any explicit convolution operation.

The entire thermal description can therefore be obtained by precomputation of $R_{\text{TH}_{ij}}(\tau)$ at timesteps, $\tau = n\delta\tau$, $n = 0, \ldots, N$. These precomputed values can be stored for repeated re-use in different electrothermal simulations. For reduction of precomputation time, the $R_{\text{TH}_{ij}}(\tau)$ can be generated at intervals, and interior points obtained accurately by interpolation. This is a time-domain approach equivalent to representation of a frequency space transfer function by a polynomial fit.

Extension to linear, quadratic or higher order interpolation of the active device power dissipations in each subinterval, $\delta \tau$, is

immediate, and for sufficiently short step lengths, low orders of interpolation should be required.

After self-consistent electrothermal solution, and inversion of the Kirchhoff and time variable transformations, physical active device temperatures are finally obtained as a function of physical time, $T_i(t)$, and electrical solutions, dc or rf, are determined.

V. COMPACT MODELS

In the fully analytical approach described above, the global thermal impedance matrix describing a complex 3-D system, such as a packaged electronic component, consists of a minimal number of thermal impedances describing self-heating and mutual thermal interaction at only those sites chosen to be of interest for electrothermal simulation. This description is minimal in two senses. It contains the smallest number of thermal multiport nodes compatible with coupling to electrical network nodes. It also contains the smallest number of thermal impedances consistent with exact solution of the heat diffusion equation. These thermal impedances do not have a direct interpretation in terms of discretized physical layout, but constitute 'thermal links' as defined in [25]. The general form of the equivalent circuit, corresponding to thermal resistance matrix $\underline{\underline{R}}_{TH}$ for the time independent case, is shown in Fig. 11 [26], $[8\overline{2}]$, and has been employed successfully in SPICE-like circuit simulation [82].

This basic form generalizes readily to arbitrary numbers of nodes, unlike thermal networks based on direct physical discretization of the thermal system, which can grow rapidly more convoluted with increase in size. Generalization to the time-dependent thermal impedance matrix case is immediate. Implementation of the thermal multiport network in electrothermal CAD can be achieved in both the time and frequency domains, as described above. Direct use of the solution of the heat diffusion equation, in the form of explicit double Fourier series expressions for thermal impedance matrices describing thermal multiports, avoids the need for lumped element RC network generation, and is already minimal without any node reduction such as that described in [84].

Thermal impedance matrices for the time independent case, and in the time domain, have been described previously by Franke and Froehler [85], for compact model development based on numerical simulation or experiment. Their corresponding equivalent circuit should be compared with Fig. 11. Sabry [20] has suggested the thermal admittance matrix as a compact model for time-dependent electrothermal CAD, as an alternative to demonstrably inadequate star models. Codecasa *et al.* have proposed exact thermal N-port construction, in the form of thermal resistance and impedance matrices [86], [87], though without constructive details of the exact thermal solution for multilayer and complex systems. Thermal impedance matrices and N-ports, for the time-independent and time-dependent cases, have been described by Szekely et al. as early as [2], [88], with generation of a minimal thermal impedance matrix at least implicit in compact, lumped element RC network approximation and nodal reduction [84]. Although the concept of the thermal impedance matrix as a compact model for electrothermal CAD is well explored, the authors' formulation is unique in providing constructive details for a minimal compact model, obtained by direct analytical solution of the heat diffusion equation in complex structures. It is also unique in its s-space (as opposed to frequency space) formulation, allowing directly both frequency domain and time domain representations (by analytical or numerical Laplace inversion) based on the same series expressions, without explicit realization as an RC network.

The thermal impedance matrix, which is generated analytically based on the imposed boundary condition, (7), constitutes a boundary condition independent compact model, as defined broadly by Lasance [24], [25]. Treatment of the time-dependent case, with description of thermal non linearity, represents a generalization of the time-independent thermal resistance networks generally defining compact models. The analytically imposed 'radiation' boundary condition, (7), is sufficiently general to include a wide range of boundary condition sets, including free and forced convection, heat sink, cold plate and fluid bath, as well as unbalanced ambient temperatures. Where full layout details are not available, or deviate from nominally specified values, a global thermal impedance matrix expression, such as (45), provides a fully parameterized relation for compact model optimization.

Description of electronic packages typically involves position varying surface flux. Where this is described through position dependent surface flux coefficients, such as $H_{0,D}(x,y)$, (7), the simple, explicit solution of (14) and (15) no longer applies. Instead, a large linear system must be solved for the Fourier expansion coefficients [27]. Similarly, even if surface flux coefficients, H, are constant, the generalized double Fourier series of (47) requires solution of corresponding linear systems. Such solutions are computationally expensive, but not totally intractable, and have been described by the authors for the time independent, double Fourier series treatment of inhomogeneous structures [28]. However, in the time dependent case, where repeated solution of the large dense linear system would be required, this approach is unattractive without reduction of the dense matrix manipulation costs. Such a reduction might be possible by the use of wavelet methods, e.g., [89, ch. 4].

This large computational problem is easily avoided by adopting the solutions of Sections III-B and III-F, with flux prescribed on all free subvolume faces, and with no explicit inclusion of sur-



Fig. 12. Illustration of the treatment of position varying surface heat flux coefficient in the analytical thermal impedance matrix description of electronic packages.



Fig. 13. Schematic of the simulated amplifier with thermal circuit [38], [39].

face flux coefficient, H, in the solution boundary conditions. The magnitude of surface flux from each elementary area of the fully discretized surface can then be determined by external thermal resistances connecting surface thermal nodes to ambient, Fig. 12, as in standard compact model descriptions [25], [45], [77]. This fully analytical approach, with full surface discretization, also allows immediate treatment of surface flux non linearity [27], [59], [77]. However, the inaccuracy of using just one or two surface nodes to describe position dependent surface fluxes has been noted, for instance by Franke [85]. Surface discretization in this fashion means that flux is effectively assumed constant over each surface element. In fact, even where surface flux coefficient, H, is constant, flux varies with temperature over each surface element as $H(\theta - \theta_{amb})$. This effect can be included exactly in the fully analytical solution with no explicit surface thermal nodes, when $H_{0,D}$ is constant and is retained explicitly in the radiation boundary condition [see (7)].

VI. CIRCUIT SIMULATION

Explicitly coupled electrothermal circuit level simulation based on the time-dependent thermal impedance matrix ap-



Fig. 14. Drain-source current I_{ds} (\leftarrow , solid line) and drain-source voltage V_{ds} (dashed line, \rightarrow) for a five-finger power transistor, from transient electrothermal analysis [38], [39].



Fig. 15. Drain-source current i_{ds} (\leftarrow , solid line) and drain-source voltage v_{ds} (dashed line, \rightarrow) from single-tone HB analysis with fundamental frequency 10 MHz [39].

proach is now illustrated, by combination with a microwave circuit simulator, Transim (NCSU) [37].

A. Transim (NCSU)

Transim has an input format that is similar to the SPICE format with extensions for variables, sweeps, user defined models, and repetitive simulation. The program provides a variety of output data and plots. The addition of new circuit element models and analysis types in Transim is much simpler than in other circuit simulators such as SPICE. For example, new element models are coded and incorporated into the program without modification to the high-level simulator. The circuit analysis types currently available in Transim are DC, AC, harmonic balance (HB) [90], convolution transient [91], wavelet transient [92], and time-marching transient [93]. Some insight into the program architecture is given in [37]. Transim, including the Leeds thermal impedance matrix model, is free



Fig. 16. Power dissipation (\leftarrow , solid line) and temperature variation (dashed line, \rightarrow) for the five-finger power transistor, from single-tone HB analysis with fundamental frequency 10 MHz [39].

software distributed under the GNU license. Further details may be found at the website [94].

Thermal effects were incorporated into the circuit simulator engine by making the thermal model look like an electrical circuit [2], [95], specifically a multiport network described in either the time or frequency domain. To ensure separate circuits for the electrical and thermal subsystems, a local reference node concept was employed [96]. This concept was initially developed for integrated circuit and EM field analysis of distributed microwave circuits, and guarantees that there is no mixing of electric and thermal currents.

The circuit used in the simulations described below, is shown in Fig. 13. The MESFET was modeled using the Curtice-Ettemberg cubic model with symmetric diodes and capacitances [97]. The extra terminals in the MESFET schematic represent the thermal connections. The thermally distributed power FET die was represented by analytically exact thermal one-port network parameters (with no explicit lumped element RC network approximation).

Transient analysis of distributed microwave circuits is complicated by the inability of frequency independent primitives to model distributed circuits. Generally, the linear part of a microwave circuit is described in the frequency domain by network parameters, especially where numerical field analysis is used to model a spatially distributed structure. Inverse Fourier transformation of these network parameters yields the impulse response of the linear circuit. This has been used with convolution to achieve transient analysis of distributed circuits. Transim uses a state variable approach for the convolution transient [91]. An algebraic nonlinear system is solved at each time step using a quasi-Newton method. In this analysis the thermal element is treated in the time domain, (25), along with the nonlinear elements in the circuit. The input power to the thermal system is chosen as the state variable of the element. Then the thermal impedance matrix approach is used to calculate the temperature corresponding to the input power given by the state variable at each iteration to solve the nonlinear system. The Kirchhoff transformation is implemented within the thermal element, so allowing non linear interface matching between thermal subsystems.

The harmonic balance technique uses a linear combination of sinusoids to approximate the periodic and quasiperiodic signals found in a time-dependent steady-state response. The system of nonlinear differential equations describing the circuit can then be transformed into a nonlinear algebraic system. Details of the implementation of HB in Transim are given in [90]. In this analysis the thermal element is modeled in the frequency domain, (23). The elements of the thermal impedance matrix are entered directly into the modified nodal admittance matrix of the circuit at each frequency. The thermal element is then 'embedded' in the linear part of the HB formulation and does not increase the size of the nonlinear system of equations. The Kirchhoff transformation for the linear thermal system is transferred into the already non linear active device model by appropriate state variable definition.

No separate thermal simulation is required for the coupled calculation by the electrothermal simulation engine. All thermal impedance matrices are generated by multiport thermal network elements defined within the electrothermal circuit simulation engine, Transim. Thermal impedance matrices (in either the time or frequency domain) are precomputed from fully analytical expressions, prior to the coupled electrothermal simulation. They only have to be generated once, for any netlist specified thermal subsystem, and can be stored for reuse in later simulations.

B. Simulations

Fig. 14 illustrates transient decay in drain-source current I_{ds} , as a result of thermal variation under the influence of a step



Fig. 17. Power dissipation in the frequency domain for a 50-finger power transistor, from two-tone HB analysis with fundamental frequency 1 GHz and difference frequency 1 MHz [39].

input in drain-source voltage V_{ds} , for a multifinger power transistor, calculated using the thermal impedance matrix approach implemented in Transim [38]. One-tone, two-tone, and multitone HB simulations are also presented in Figs. 15 to 19 [39]. These simulations used the simplest one-port thermal description of the power FET, returning surface average temperature rise as a function of surface average power dissipation over the active regions of the multigate device. N-port thermal elements have also been implemented in Transim. After precomputation of thermal impedances, the coupled electrothermal simulations took a few seconds on a 500 MHz Pentium processor. Figs. 15 and 16 illustrate single-tone HB simulation. Such results raise the question of whether small amplitude thermal oscillations at microwave frequencies could ever act as a source of 'classical' thermal noise. Figs. 17 and 18 illustrate two-tone HB, demonstrating intermodulation distortion due to amplifier non linearity. As a result of thermal inertia, thermal response is seen to be much greater at the 1 MHz difference frequency than at the ~ 1 GHz fundamental frequencies. Fig. 19 illustrates the potential of the model for prediction of thermal effects on spectral regrowth and ACPR, by means of multitone HB.

VII. THERMAL MODEL VALIDATION

To validate the thermal model, a series of time dependent thermal images of a passive grid array at turn-on, representative of one form of spatial power combining architecture, were obtained using a video capture card interfaced between an Inframetrics PM-280 Thermacam and a PC. Commercial software enabled the capture frame rate to be preset and data output to a file in AVI format. A frame rate of one image per second was chosen and a total of 350 frames recorded. Frame rates of up to 50 Hz are readily available, allowing measurement of thermal time constants in individual MMICs. These showed lateral heat diffusion in related experiments reaching steady state on timescales ~ 1 s.

Experimental thermal images for the FR-4 grid array are plotted in Fig. 20 and corresponding thermal simulations



Fig. 18. Temperature variation in the frequency domain for the 50-finger power transistor, from two-tone HB analysis with fundamental frequency 1 GHz and difference frequency 1 MHz [39].



Fig. 19. Current response from multitone HB analysis with 11 fundamentals at frequency 0.5 GHz and difference frequency steps of 0.5 MHz [39]. Circles: without thermal effects. Crosses: with thermal effects.

are shown in Fig. 21. These simulations and measurements of thermal response are for a 10×10 passive grid array, dissipating 2 W over an area 5×5 cm². The simulations were based on a simple analytical expression for thermal impedance, returning central temperature as a function of the average power dissipation over the whole area of the central array of heat dissipating resistors [28]. In terms of the corresponding thermal network, the thermal impedance corresponds to a one-port thermal element with one terminal held at constant ambient temperature. Laplace inversion was performed numerically [67]. Agreement between theory and experiment is good, with just one scalar fitting parameter to determine the ratio of radiative to convective surface flux losses. Adjustment of this parameter essentially fixes the asymptotic value of the surface temperature rise [71]. Data for FR-4 was taken from [98] and (along with geometrical parameters) independently determines the thermal rise times. In all cases, heat loss for the horizontal, essentially free-standing array is purely by



Fig. 20. Time dependent measurements of 10×10 passive grid array: FR-4, 5×5 cm², dissipating 2 W. Cooling purely by surface radiation and convection (no heatsink mounting).



Fig. 21. Time dependent thermal simulations of 10×10 passive grid array: FR-4, 5×5 cm², dissipating 2 W. Cooling purely by surface radiation and convection (no heatsink mounting).

surface radiation and convection with no heatsink mounting. Times to steady state for lateral diffusion are seen to be very long with implications for quasioptical array beam formation. The observed reduction in lateral diffusion in the simulations compared to experiment, is probably due to neglect of the known anisotropy of the FR-4 thermal conductivity. This is approximately 3 times larger in-plane than in the perpendicular direction [99]. This anisotropy is easily treated by simple extension of the analytical model [45].

VIII. CONCLUSION

An original, fully analytical, spectral domain decomposition approach to the solution of the non linear time-dependent heat diffusion equation, in complex 3-D systems, has been described. It has been illustrated largely for hybrid and monolithic integrated circuits, but is equally applicable to packaged components, multichip modules, circuit boards and systems. It is based on fully analytical expressions for solution of the heat diffusion equation in rectangular thermal subvolumes (though other regular geometries, such as cylinders, are readily treated). This fully analytical thermal model is observed to be at least $10 \times$ faster than corresponding semi-analytical Fourier solutions for N-level multilayers, and also treats arbitrarily complex 3-D systems without invoking conventional numerical methods. It requires no volume or surface discretization, discretising only the interfaces between thermal subsystems. It is compatible with network based EM/electrical circuit simulators via interpretation as a multiport thermal network, with direct use of essentially exact, generalized multiport network parameters, in either frequency space or the time domain. This approach avoids the need for explicit, lumped element RC network approximation or model reduction, apart from that inherent in truncation of infinite series at a finite number of terms sufficient to ensure convergence (and in numerical Laplace inversion, when employed). It gives rise to minimal boundary condition independent compact models for complex thermal systems in the form of analytically obtained thermal impedance matrices, describing temperature response only at power dissipating and temperature sensitive elements. The method has no power or temperature restrictions, so is not a small signal approximation.

The problem of thermal non linearity, due to temperature dependent thermal diffusivity, has been treated approximately by application of a time variable transformation, in addition to the well known Kirchhoff transformation for treatment of temperature dependent thermal conductivity. In contrast with many electrothermal CAD models, which neglect thermal non linearity in order to generate a linear thermal network, the model presented here can treat thermal non linearity due to temperature dependence of material parameters, as well as that due to non linear surface fluxes in large area systems.

A range of original thermal solutions have been presented in the form of thermal impedance matrices for electrothermal subsystems. In particular, an original, Green's function free, approach to the double Fourier series solution of problems with arbitrarily distributed volume heat sources and sinks, has been described. A double Fourier series solution for prescribed flux on all faces of a rectangular volume has also been presented. These two solutions remove the need for an adiabatic sidewall boundary condition. Employing these techniques, construction of global thermal solutions for complex 3-D systems based on the thermal impedance matrix approach, has been outlined, removing the limitations of all previous fully analytical solutions for thermal systems.

This thermal impedance matrix method has been illustrated by generation of thermal responses for test systems in both the frequency and time domains, and compared against published results. Agreement was found to be good. The model was validated experimentally for the case of a passive grid array at turn-on, by high resolution time-dependent thermal imaging. Simulations were performed for the horizontal, essentially freestanding array, assuming heat loss purely by surface radiation and convection with no heatsink mounting.

Coupled electrothermal simulation has been demonstrated by implementation of the Leeds thermal impedance matrix model in a microwave circuit simulator, Transim (NCSU). Simulated transient, one-tone, two-tone and multitone HB results were presented for a power MESFET.

Future development of the Leeds thermal impedance matrix model in Transim will include extension of the approach to generate explicitly, heat transfer coefficient, H, describing surface flux losses. It will explore wavelet techniques for reduction of large, dense, $N \times N$, matrix eigenvalue and inversion problems, from $O(N^3)$ operation to O(NlogN) operation processes, with particular application to the economical description of inhomogeneous thermal systems. It will include integration of the rapid Leeds Physical Model of MESFETs and HEMTs, into circuit simulator, Transim, to produce fully physical, coupled electrothermal, circuit level CAD. Finally, it will include development of circuit simulation techniques to treat more fully the huge range of time constants inherent in fully coupled electrothermal simulations of large systems.

The modeling capability described here will be applied to the study and design of spatial power combining architectures for use as high power sources at millimeter wavelengths.

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